

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

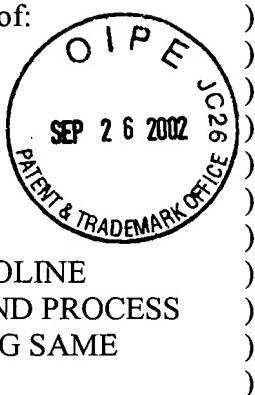
In re U.S. Patent Application of:  
Shibamura *et al.*

Serial No.: 09/704,968

Filed: November 2, 2000

For: BATHOPHENANTHROLINE  
COMPOUND AND PROCESS  
FOR PREPARING SAME

Docket No. 9792909-4689



Examiner: E. Huang  
Group Art Unit: 1625

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**AMENDMENT "C" - Preliminary Amendment Accompanying RCE/CPA**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Advisory Action 18 July 2002, based off the final office action dated, 22 March 2002, the applicants respond as follows.

**AMENDMENT**

**In the Claims**

Please cancel claims 1, 3, 4, 6 - 10. Please add new claims 11 to 19. Then cancel claims 12 and 15.